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December 30, 2004

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re: Entering National Stage in

PCT Application No. PCT/JP2004/005392

Applicants:

Madoka Tonosaki et al

Title :

NOVEL FUNCTIONAL PEPTIDE NUCLEIC ACID AND PROCESS FOR PRODUCING THE

SAME

My File :

441/1/019

#### Certificate of Mailing By Express Mail Under 37 CFR 1.10

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I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Mail Stop PCT, COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450 op DECEMBER 30, 2004.

Richard M. Goldberg
(Name of Registered Representative and person mailing)

(Signature and Date)

2004

Dear Sirs:

Transmitted herewith for filing in the U.S. Patent and Trademark Office (entering the national phase in a PCT application (PCT/JP2004/005392) (WO 2004/092377) is a new application including:

- a) Form PTO-1390 (in duplicate), with authorization to charge \$500.00 to credit card, along with Credit Card Payment Form (PTO-2038);
- b) Form PCT/IB/308 (mailed November 18, 2004) entitled FIRST NOTICE INFORMING THE APPLICANT OF THE

Commissioner for Patents December 30, 2004 Page 2

COMMUNICATION OF THE INTERNATIONAL APPLICATION (TO DESIGNATED OFFICES WHICH DO NOT APPLY THE 30 month time limit under article 22(1), indicating that the original PCT application was transmitted by the International Office to the U.S. Patent and Trademark Office - 1 page;

- c) Original filed Japanese application of thirty (30) pages with twenty (20) claims;
- d) English-language translation of original filed Japanese application, which is an accurate translation of the original Japanese language PCT application;
- e) One (1) sheet of formal drawing (Figs. 1-2);
- f) One front page of published PCT application;
- g) Executed Declaration and Power of Attorney;
- h) Application Data Sheet;
- i) Form PCT/ISA/210 International Search Report performed by the Japanese Patent Office and Annex thereto, and English-language translation thereof;
- j) Preliminary Amendment; and
- k) Information Disclosure Statement, one Form PTO/SB/08A and ten references.

### APPLICANTS QUALIFY FOR SMALL ENTITY STATUS.

It is respectfully requested that the above-identified PCT application be granted a filing date within the October 15, 2005 convention term (30 months), based on Japanese Application No. 2003-144152, filed April 15, 2003 and Application No. PCT/JP2004/005392, filed April 15, 2004.

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by

## **GOLDBERG PATENT LAW OFFICE**

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check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 07-1524.

The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1524.

Respectfully submitted,

Richard M. Goldberg
Registration No. 28,215

RMG:mz enclosures

DT03 Rec'd PCT/PT0 3 0 DEC 2006 PT0-1390 Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 441/1/019

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			U.S. APPLION TO AIR GOWN SEE 37 CFR 1.5)	
INTERNA	TIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED	
	1/JP2004/005392	April 15, 2004	April 15, 2003	
NOVEL FUNCTIONAL PEPTIDE NUCLEIC ACID AND PROCESS FOR PRODUCING THE SAME				
APPLICANT(S) FOR DO/EO/US				
Madoka TONOSAKT et al  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.				
	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.			
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items			
4. 😾	(5), (6), (9) and (21) indicated below.  The US has been elected (Article 31).			
5. 🗶	A copy of the International Application	n as filed (35 U.S.C. 371(c)(2))		
	a. x is attached hereto (required only if not communicated by the International Bureau).			
	b. x has been communicated by the International Bureau.			
		cation was filed in the United States Receiv	ring Office (RO/US).	
6. X	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).			
	a. X is attached hereto.			
	b. has been previously submit	tted under 35 U.S.C. 154(d)(4).		
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))			
	a. are attached hereto (required only if not communicated by the International Bureau).			
	b. have been communicated by the International Bureau.			
	c. have not been made; however, the time limit for making such amendments has NOT expired.			
	d. have not been made and v	will not be made.		
8.	An English language translation of th	e amendments to the claims under PCT Ar	ticle 19 (35 U.S.C. 371(c)(3)).	
9. <b>x</b>	An oath or declaration of the inventor	(s) (35 U.S.C. 371(c)(4)).		
10. 🔲	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	e annexes of the International Preliminary E	examination Report under PCT	
Items 11 to 20 below concern document(s) or information included:				
11. X	An Information Disclosure Statement	, . 01.11	PTO/SB/08A and PTO/SB/08B	
12.	An assignment document for recording	g. A separate cover sheet in compilation w	th 97 <del>€FR 5</del> .5% and 3.31 is included.	
13. X	A preliminary amendment.			
14. X	An Application Data Sheet under 37 C	CFR 1.76.		
15.	A substitute specification.			
16.	A power of attorney and/or change of	address letter.		
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.			
18. 🗔	A second copy of the published International Application under 35 U.S.C. 154(d)(4).			
19.		ge translation of the international application	n under 35 U.S.C. 154(d)(4).	
20. X	Other items or information: PCT/	IB/308		

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This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# DT12 Rec'd PCT/PT0 3 0 DEC 2004

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/JP2004/005392 441/1/019 The following fees are submitted: Applicant use Office use only 300.00 x a) Basic national fee \$300.00 b) Examination fee......\$200.00 200.00 x c) Search fee......\$500.00 500,00 TOTAL OF ABOVE CALCULATIONS = 000.00 \$1000.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) - 100 = /50 = x \$250.00 30 0 0 \$ Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(e)). 0 NUMBER FILED **CLAIMS** NUMBER EXTRA RATE \$ Total claims - 20 = \$50.00 \$ 20 0 Independent claims - 3 = \$200.00 \$ 0 0 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360.00 \$ 0 **TOTAL OF ABOVE CALCULATIONS =** \$ 000.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced 500.00 \$ 500.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = S 500 00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = 500.00 Amount to be refunded: \$500.00 Amount to be charged A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. \_\_ to cover the above fees. in the amount of \$ \_\_\_ A duplicate copy of this sheet is enclosed. c. KX The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-15 24. A duplicate copy of this sheet is enclosed. a. Lx Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO:

Richard M. Goldberg 25 East Salem Street Suite 419 Hackensack, NJ 07601 (201) 343-7775

SIGNATURE

Richard M. Goldberg

NAME

28,215

REGISTRATION NUMBER